



PATENT
Docket No. 1570-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Randy MARCHETTI

Entitled: "LAMP ASSEMBLY WITH DUAL
MODE REFLECTOR"

Serial No.: 10/040,268

Filed: October 30, 2001

)
) Preliminary
) Group Art Unit: 2875
)
) Examiner: Unknown
)
)
)
)
)
)

BOX MISSING PARTS

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Applicant Randy Marchetti hereby responds to the Notice to File Missing Parts of Nonprovisional Application mailed on February 22, 2002, the period for response having been extended one month to May 22, 2002 by the concurrently filed Petition and fee. Attached hereto and submitted herewith is a Declaration and Power of Attorney form executed by the inventor, and the return copy of the Notice.

The application papers when originally filed inadvertently identified Gregg J. Ghelfi as the inventor. Gregg J. Ghelfi is the president of RM RACING, which employs the actual inventor, Randy Marchetti. The Declaration as submitted herewith corrects this error.

This application qualifies under the small entity provisions of 37 C.F.R., and Applicant hereby claims status thereunder. Accordingly, the filing fee for this

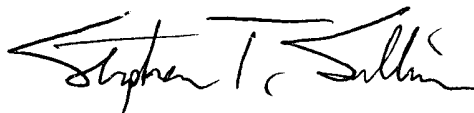
application is determined as follows:

Basic filing fee:	\$370.00
Independent claims in excess of 3 (0 claims @ \$42.00 per claim):	\$0.00
Total claims in excess of 20 (2 claims @ \$9.00 per claim):	<u>\$18.00</u>
Total Filing Fee:	\$388.00

A check for \$508.00 is attached to cover the filing fee, the petition fee, and the declaration surcharge. If this amount is deficient, or if there are any other fees due in connection with the prosecution of this application, please charge these amounts to our Deposit Account No. 501324.

Dated: May 22, 2002

Respectfully submitted,



Stephen T. Sullivan
Reg. No. 32,444

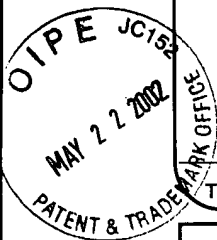
CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 889189770 US

Date of Deposit: May 22, 2002

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application with attached return copy of the Notice and Declaration and Power of Attorney is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Lisa Mansuer



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$ 508

Application Number 10/040,268
Filing Date October 30, 2001
First Named Inventor Gregg J. Ghelfi
Examiner Name Unknown
Group / Art Unit 2875
Attorney Docket No. 1570-005

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☐ Deposit Account:

Deposit
Account
Number

501324

Deposit
Account
Name

Sullivan Law Group

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 370)

2. EXTRA CLAIM FEES

Total Claims	22	-20 **	=	2	X	9	=	18
Independent Claims	3	-3 **	=	0	X	42	=	0
Multiple Dependent					X		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 18)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 120)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Stephen T. Sullivan Registration No. Attorney/Agent 32,444 Telephone (602) 956-6161
Signature *Stephen T. Sullivan* Date May 22, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EL 889189770 US

Date of Deposit May 22, 2002

I hereby certify that this Fee Transmittal for
FY 2002 form and check are being deposited
with the U.S. Postal Service "Express Mail
Post Office to Addressee" service under 37
C.F.R. § 1.10 on the date indicated above and
is addressed to BOX MISSING PARTS,
Assistant Commissioner for Patents, U.S.
Patent and Trademark Office, Washington,
D.C. 20231.

Lisa Mansur



MAY 22 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,268	10/30/2001	Gregg J. Ghelli	1570-005

CONFIRMATION NO. 9580

FORMALITIES LETTER



OC000000007521446

Sullivan Law Group
Suite 120
5060 North 40th Street
Phoenix, AZ 85018

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$36.
 ■ \$36 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 906.

*A copy of this notice **MUST** be returned with the reply.*

Ayida Conton

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/28/2002 CCHAU1 00000002 10040268

01 FC:201
02 FC:203
03 FC:205

370.00 OP
18.00 OP
65.00 OP